

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
10 April 2003 (10.04.2003)

PCT

(10) International Publication Number
WO 03/028759 A1

(51) International Patent Classification⁷: **A61K 39/155**,
47/36, C12N 15/00

(21) International Application Number: PCT/US02/04114

(22) International Filing Date: 12 February 2002 (12.02.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/325,573 28 September 2001 (28.09.2001) US

(71) Applicants (*for all designated States except US*): **UNIVERSITY OF SOUTH FLORIDA** [US/US]; 4202 E. Fowler Avenue FAO 126, Tampa, FL 33620-7900 (US). **JOHNS HOPKINS UNIVERSITY** [US/US]; 111 Market Place, Suite 906, Baltimore, MD 21202 (US).

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): **MOHAPTRA, Shyam, S.** [CA/US]; 18510 Country Crest Place, Tampa, FL 33647 (US). **KUMAR, Mukesh** [IN/US]; 315 Normandy Drive, Norwood, MA 02062 (US). **HUANG, Shau-Ku** [US/US]; 1613 Glen Keith Boulevard, Towson, MD 21286 (US). **LEONG, Kam, W.** [US/US]; 10242 Breconshire Road, Ellicott City, MD 21042 (US). **LOCKEY, Richard, F.** [US/US]; 3909 N. Hampton Way, Tampa, FL 33624 (US). **ZHANG, Jian** [US/US]; 6757 SE 88th Street,

Apt. C-309, Miami, FL 33156 (US). **BEHERA, Aruan, K.** [IN/US]; 2900 University Square Plaza #37, Tampa, FL 33612 (US). **CHEN, Li-Chen** [—/]; -. **PEREZ DE LA CRUZ, Ch** [US/]; -.

(74) Agent: **LADWIG, Glenn, P.**; Saliwanchik, Lloyd & Saliwanchik, 2421 N.W. 41st Street, Suite A-1, Gainesville, FL 32606-6669 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: RSV GENE EXPRESSION VACCINE

(57) Abstract: An effective prophylactic mucosal gene expression vaccine (GXV), made up of a cocktail of a least 4 different plasmid DNAs encoding corresponding RSV antigens, coacervated with chitosan to formulate nanospheres. In a murine model of RSV infection, intranasal administration with GXV results in significant induction of RSV-specific antibodies, nasal IgA antibodies, cytotoxic T lymphocytes, and IFN- γ production in the lung and splenocytes. A single dose of GXV induces a drastic reduction of viral titers.



WO 03/028759 A1

RSV GENE EXPRESSION VACCINE

This application claims priority from United States Serial Number 60/325,573, filed September 28, 2001.

5

FIELD OF THE INVENTION

The invention relates generally to gene expression vaccines. More specifically, the invention relates to gene expression vaccines that can be administered intra-nasally or orally.

10

BACKGROUND

The respiratory syncytial virus (RSV) is the most common cause of viral lower respiratory tract infections in infants and children, affecting about 4 million children globally and leading to about 100,000 hospitalizations and 4,500 deaths per annum in the United States alone. RSV infection is associated with recurrent episodes of bronchiolitis, bronchial obstruction and exacerbation of asthma in children. Incidence of RSV infection-induced bronchiolitis has been increasing. There is no effective prophylaxis available against RSV infection. Previous attempts to develop a vaccine using a formalin-inactivated RSV vaccine not only failed but also exacerbated the disease when subsequent RSV infection occurred. (Chanock et al, Serious respiratory tract disease caused by respiratory syncytial virus: prospects for improved therapy and immunization, *Pediatrics* 1992; 90:137-43). Further, development of therapy against RSV has been limited by the short incubation period. Thus, development of an RSV vaccine has been a high priority at a global level.

Most of the RSV antigens are immunogenic in humans and mice, although the F and G antigens induce the majority of the neutralizing antibodies against RSV. (Connors, et al, Respiratory syncytial virus (RSV) F, G, M2 (22K), and N proteins each induce resistance to RSV challenge, but resistance induced by M2 and N proteins is relatively short-lived, *J Virol* 65:1634,1991; Wyatt et al, Priming and boosting immunity to respiratory syncytial virus by recombinant replication-defective vaccinia virus MVA. *Vaccine* 18:392, 1999). An analysis of the CTL repertoire in humans indicates that the N, SH, F, M, M2, and NS2 proteins are strong target antigens. Similarly, in BALB/c mice, the F, N, and especially the M2 proteins are shown to be the major target antigens of CTL activity. (Domachowske et al, Respiratory syncytial virus infection: immune response,

25
30

immunopathogenesis, and treatment, *Clin Microbiol Rev* 12:298, 1999). Virus specific cytotoxic T lymphocytes play a major role in the clearance of RSV infection. Both serum and mucosal antibodies and MHC-class-I restricted cytotoxic T lymphocytes (CTLs) mediate protection against RSV infection. (Brandenburg et al, Pathogenesis of RSV lower respiratory tract infection: implications for vaccine development. *Vaccine* 19:2769, 2001). Previously, passive administration of neutralizing serum antibodies was shown to decrease the risk of RSV disease in animal models and in humans. (Groothuis et al, Use of intravenous gamma globulin to passively immunize high-risk children against respiratory syncytial virus: safety and pharmacokinetics. The RSVIG Study Group. *Antimicrob Agents Chemother.* 1991 Jul; 35(7): 1469-73; Hemming et al, Hyperimmune globulins in prevention and treatment of respiratory syncytial virus infections. *Clin Microbiol Rev.* 1995 Jan;8(1):22-33. Review).

Vaccines studied to date comprise a subunit, peptide, or DNA vaccine made up of the RSV-F, -G and/or -M2 protein(s). Intramuscular injection of pDNA encoding the RSV-F or -G protein was effective in mice. (Li et al, Protection against respiratory syncytial virus infection by DNA immunization, *J Exp Med* 1998 Aug 17;188(4):681-8; Li et al, Plasmid DNA encoding the respiratory syncytial virus G protein is a promising vaccine candidate, *Virology.* 2000 Mar 30; 269(1): 54-65). In a cotton rat model, an F-G vaccine induced neutralizing antibody titers, which are 1-2 orders of magnitude lower compared to live RSV. (Prince et al, Efficacy and safety studies of a recombinant chimeric respiratory syncytial virus FG glycoprotein vaccine in cotton rats. *J Virol.* 2000 Nov;74(22): 10287-92). Immunization with plasmid DNAs (pDNA) expressing antigens *in vivo* that induce a protective cellular and humoral immune response is touted to have a number of advantages compared to other vaccines. However, the quantity of DNA used per unit bodymass and the route chosen might make these vaccines unsuitable for human use. (Guy et al, Design, characterization and preclinical efficacy of a cationic lipid adjuvant for influenza split vaccine, *Vaccine* 19:1794, 2001).

Currently, one of the options available to infants, who are at a high risk for developing RSV infection, is passive immunization at a monthly interval with a humanized antibody to the RSV-F antigen. Despite the inconvenience, expense, and partial effectiveness, passive immunization is often considered the only option, as a safe and effective vaccine against RSV is not available.

Therefore, a need remains for a DNA vaccine capable of mounting mucosal immunity against RSV. Given that infants of 2 to 6 months of age are among the most

susceptible to RSV infection and that vaccination would preferably take place in the one month old infant, and given that a mucosal vaccine is considered more appropriate for developing a local immunity in these infants, who may have an immature local and systemic immune system, a mucosal RSV vaccine is preferred.

5

SUMMARY OF THE INVENTION

The present invention provides gene expression vaccine (GXV) comprising a cocktail of plasmid DNAs encoding corresponding RSV antigens in the form of chitosan nanospheres. In a first embodiment, the cocktail contains a combination comprising the F, G and at least one of the M, M2, SH, NS1, NS2, N, and P RSV antigens. In an alternative embodiment, the cocktail is a combination comprising the M2 and at least one of the F, G, M, SH, NS1, NS2, N, and P RSV antigens. In a further alternative embodiment, the cocktail contains a combination comprising the F, G, M2 and at least one of the M, SH, NS1, NS2, N, and P RSV antigens. The GXV is safe and effective against RSV, significantly attenuates pulmonary inflammation induced by RSV infection, and can be administered intra-nasally or orally. Not to be limited by theory, and although the precise cellular and molecular mechanisms for the effectiveness of GXV remain to be investigated, it is likely that the route, the combination of immunogenic antigens, and/or the conjugation with chitosan contribute to its effectiveness.

20 Accordingly, in a first embodiment, the invention is directed to a prophylactic mucosal vaccine against RSV infection.

In a further embodiment, the vaccine is developed using a RSV gene expression library formulated in the form of chitosan nanospheres for delivery via intranasal or oral route.

25 In a further embodiment, administration of the vaccine does not induce airway hyper-reactivity.

In a further embodiment a gene expression vaccine is provided having two distinct components: a pDNA cocktail conferring vaccine potency and chitosan conferring adjuvant activity.

30 In a further embodiment, the gene expression vaccine provides induced immunity to vaccine equivalent to that of live virus infection.

In a further embodiment the vaccine is effective at a single dose of approximately 25 µg of cocktail/mouse.

In a further embodiment the vaccine induces antibodies to multiple antigens, and preferably 9 antigens.

In a further embodiment, a method of making GXV vaccine is disclosed wherein the cDNAs for all RSV antigens except L antigen, are cloned in pVAX plasmid and the cocktail is coacervated with chitosan to formulate nanospheres.

In a further embodiment, the vaccine induces increases in specific IgG titers, nasal IgA titers, and enhances IFN- γ production in both lung as well as spleen tissues.

Other objects and advantages will become apparent to those skilled in the art from a review of the ensuing description, which proceeds with reference to the following illustrative drawings.

BRIEF DESCRIPTION OF FIGURES

Figures 1(A-B). **Figure 1A** shows expression of RSV cDNAs following intranasal GXV vaccination; Lanes: Bp, marker and lanes marked as NS1, NS2, M, SH, F, M2, N, G and P refer to PCR corresponding to the RSV cDNAs. **Figure 1B** shows expression of RSV cDNAs following intranasal GXV vaccination; immunoblot analysis. Lane Kd is a molecular weight marker; Lanes 1 and 2 are RSV infected and uninfected HEp-2 cell extracts.

Figures 2(A-C). **Figure 2A** shows plaque forming unit of RSV from lungs of mice administered intranasally with PBS, naked DNA and GXV. **Figure 2B** shows antigen load of RSV measured by ELISA from lungs of mice administered intranasally with PBS, naked DNA and GXV. **Figure 2C** shows determination of methacholine responsiveness of mice administered intranasally with PBS, naked DNA and GXV measured by a whole-body plethysmograph. Methacholine responsiveness is measured as percent baseline enhanced pause (Penh).

Figures 3(A-C). **Figure 3A** shows anti-RSV antibody response following GXV vaccination. BALB/c mice are intranasally administered with GXV vaccine (25 μ g), naked DNA (25 μ g), or PBS. Sera are collected from mice at 14 and 21 days after vaccination, and anti-RSV antibody titers measured by ELISA. **Figure 3B** shows determination of RSV neutralizing antibody titers following vaccination. RSV suspension is incubated with various dilutions (0.01, 0.1 and 1) of sera and neutralization is carried out. **Figure 3C** shows IgA antibody response following vaccination from nasal washes.

Nasal washings (i.n) are collected from animals at 14 and 21 days after vaccination, and total IgA antibody levels measured by ELISA.

Figure 4(A-B). **Figure 4A** shows characterization of RSV specific CTLs induced by GXV vaccination. Mice are vaccinated with 25 µg of GXV, 25 µg naked DNA, or
5 PBS. Three weeks later immune splenocytes are stimulated with persistently RSV infected fibroblast cell line BCH4. CTL activity is assessed in a standard 4-h ⁵¹Cr-release assay using uninfected BC cells and RSV-infected BCH4 fibroblast as targets. **Figure 4B** shows determination of IFN-γ levels in BAL fluid. Groups of mice vaccinated as above are infected with RSV on day 16. BAL is performed on these mice on day 21, and IFN-γ
10 levels are measured by ELISA. **Figure 4C** shows determination of IFN-γ levels in splenocyte cultures. Groups of mice vaccinated as above are infected with RSV on day 16. Mice are sacrificed on day 21 and their spleenocytes are cultured *in vitro* on anti-CD3 antibody coated plates and IFN-γ levels in the culture supernatants are measured by ELISA.

15 **Figure 5.** **Figure 5** shows mice vaccinated, as described earlier, and, on day 16, infected with RSV. Four days later, these mice are sacrificed, their lungs removed, and histological sections are stained with hematoxylin and eosin (HE). GXV vaccinated mice show less epithelium damage and cellular infiltration than the controls.

Figures 6(A-B). **Figure 6A** shows expression of RSV cDNAs following
20 intranasal RGCN vaccination. BALB/c mice are intranasally administered with a cocktail of RSV antigens cloned in the plasmid vector pVAX (GXV). Each mice inhales a total of 25 µg of cocktail DNA. Animals are sacrificed three days later after the last intranasal administration and RT-PCR is performed from total lung RNA. Expression of seven RSV mRNAs are shown. **Figure 6B** shows that GXV alone does not induce airway
25 hyperresponsiveness. BALB/c mice are orally administered with RGCN vaccine and 3 days later airway hyperresponsiveness is measured using whole body plethysmograph. Animals receiving GXV exhibit similar response to methacholine challenge when compared to animals receiving naked DNA or PBS alone (controls).

Figures 7(A-B). **Figure 7A** shows anti-RSV antibody response following
30 gRGCN vaccination. BALB/c mice are orally administered with GXV (25 µg), naked DNA (25 µg) alone or PBS. Sera are collected from animals at 14 and 21 days after the vaccination and anti-RSV antibody titers are measured by ELISA. Animals vaccinated with RGCN vaccine exhibit significantly higher antibody titers than controls. **Figure 7B**

shows IgA antibody response following RGCN vaccination. BALB/c mice are intranasally or orally administered with RGCN vaccine (25 μ g), naked DNA (25 μ g) alone or PBS. Fecal pellets (oral) and nasal washing (intranasal) are collected from animals at 14 and 21 days after the vaccination and total IgA antibody titers are measured by ELISA.

5 **Figures 8(A-B).** Figure 8A shows expression of IFN- γ in spleen from oral and intranasal vaccinated mice. Mice receive RGCN vaccination orally and intranasally and infected with RSV on day 16. On day 21, animals are sacrificed and their spleens are cultured *in vitro* or BAL is performed. Expression from α -CD3 stimulated spleen cells; animals vaccinated orally produce more IFN- γ than intranasal group. Figure 8B shows
10 expression of IFN γ in BAL fluid from oral and intranasal vaccinated mice. Mice vaccinated intranasally show more IFN- γ production in their BAL fluid than the oral group.

Figure 9. Figure 9 shows oral GXV reduces pulmonary inflammation of the murine lung. BALB/c mice are orally administered with GXV or naked DNA (25 μ g total). Animals are infected with RSV on day 16 and 4 days later (day 21) sacrificed. Lungs are removed and histological sections are stained with hematoxylin and eosin (HE). Representative photomicrographs are shown. Mice given the GXV show reduction in
15 epithelial cell damage and interstitial space thickening when compared to controls.

20 DETAILED DESCRIPTION

A RSV gene expression library is constructed in pVAX plasmid and the library is coacervated with chitosan to formulate nanospheres, referred to herein as RGCN vaccine.

The present invention provides a gene expression vaccine (GXV) comprising a cocktail of plasmid DNAs encoding corresponding RSV antigens. The cocktail comprises
25 combinations of the F, G, M, M2, SH, NS1, NS2, N, and P RSV antigens. The cocktail may contain a combination comprising the F, G and at least one of the M, M2, SH, NS1, NS2, N, and P RSV antigens. Alternatively, the cocktail may contain a combination comprising the M2 and at least one of the F, G, M, SH, NS1, NS2, N, and P RSV antigens. Also, alternatively, the cocktail may contain a combination comprising the F, G, M2 and
30 at least one of the M, SH, NS1, NS2, N, and P RSV antigens. The GXV is formulated in the form of nanospheres with chitosan, a biodegradable, human-friendly, and cationic polymer that increases mucosal absorption of the vaccine without any adverse effects.

Chitosan allows increased bioavailability of the DNA because of protection from degradation by serum nucleases in the matrix and thus has great potential as a mucosal gene delivery system. Chitosan also has many beneficial effects, including anticoagulant activity, wound-healing properties, and immunostimulatory activity, and is capable of modulating immunity of the mucosa and bronchus-associated lymphoid tissue. GXV in the form of chitosan nanoparticles significantly induces specific neutralizing IgG antibody titers, and nasal IgA titers and IFN- γ levels in the lung compared to naked DNA controls. Chitosan increases the immunologic potency of GXV. However, the detailed mechanisms underlying chitosan potentiation of an anti-viral immunity remain to be elucidated. It is to be noted that in addition to being very effective, GXV is safe, as demonstrated by a significant decrease in overall lung inflammation accompanied in vaccinated group compared to the non-vaccinated infected group and the lack of change in methacholine responsiveness between vaccinated and naive mice. This issue is extremely pertinent in view of the previous failure of the formalin-inactivated vaccine, which exacerbated the disease.

Vaccine induced humoral and cellular immunity is investigated. GXV significantly augments levels of both neutralizing serum and mucosal IgA antibodies compared to naked DNA-vaccinated and unvaccinated control groups. Although, the secreted IgA antibody provides protection for pathogens that enter via the mucosal route, the role of secretory IgA in protection against RSV is poorly understood. Without wishing to be bound by theory, it may be reasoned that because RSV is an obligatory intracellular mucosal pathogen affecting both the upper and lower respiratory tract, it is likely that mucosal IgA might provide protection against severe RSV disease by precluding its entry into mucosa and/or inhibiting the cell-cell syncytial spread of RSV.

GXV generates a significantly stronger CTL response compared to naked DNA and unvaccinated controls. These results, which are in agreement with other experimental vaccines, clearly support a role of vaccine-induced CTLs in virus clearance. Several studies indicate that the protective effect of CTL against cytopathic viruses is dependent on its production of cytokines such as IFN- γ . GXV significantly enhances the production of IFN- γ following vaccination, which may be useful in fighting RSV infection. IFN- γ has a direct antiviral effect and is particularly important in stimulating the cytolytic activity of natural killer (NK) cells and CD8⁺ cytotoxic T lymphocytes (CTL), which play a critical role in the control of RSV infection in a murine model and in humans.

In addition to the immunomodulatory activity of GXV, the possibility of inflammation induced by GXV is assessed by immunohistological analyses of lung sections. A semi-quantitative analysis of epithelial damage, and perivascular, peribronchial and interstitial infiltrating cells indicate that GXV significantly reduces cellular infiltration and epithelial damage compared to naked DNA and unvaccinated mice. The reason for the significant difference observed between naked DNA and GXV is unknown. Without wishing to be bound by theory, it is likely that GXV is less invasive, as a natural component of the mucosal system, compared to naked DNA. It is also possible that the accumulation of naked DNA in the epithelial submucosa due to reduced uptake by epithelial cells augments inflammatory response.

Collectively, our data demonstrates that GXV represents a novel vaccine concept against RSV infection, which at a single dose of only 1 mg/kg body weight is capable of decreasing viral titers by two orders of magnitude (100 fold) upon primary infection. The immunologic mechanisms for effectiveness of this vaccine include the induction of both high levels of serum IgG and mucosal IgA antibodies, the generation of an effective CTL response, and elevated lung-specific production of IFN- γ with anti-viral action. While as a single-dose vaccine GXV is extremely effective, it is conceived that dose escalation and prime-booster strategies might further enhance its effectiveness. In addition, GXV significantly decreases pulmonary inflammation and does not alter airway hyperresponsiveness, making it a safe vaccine.

Materials and Methods

Animals

Six-week old female BALB/c mice are purchased from the Jackson laboratory (Bar Harbor, ME) and maintained in pathogen free conditions at the animal center. All procedures are reviewed and approved by the University of South Florida and James A Haley VA Medical Center Committee on Animal Research.

Gene Construct, generation of chitosan nanospheres and gene transfer

RSV cDNAs are amplified from the RSV-infected mouse lung cDNA library by polymerase chain reaction (PCR) using Vent polymerase (New England Biolabs, Beverly, MA) and cloned in the mammalian expression vector pVAX (Invitrogen, San Diego, CA). The resulting plasmids are propagated in *E. coli* DH5 α cells. Large-scale plasmid DNA is

prepared using a Qiagen kit (Qiagen, Chatsworth, CA), following the manufacturers specifications. This produces sufficiently pure DNA with minimum endotoxin contamination. pDNAs are mixed to make a cocktail of RSV cDNAs. DNA chitosan nanospheres are generated, as described by Roy, K., et al 1999, Oral gene delivery with chitosan – DNA nanoparticles generates immunologic protection in a murine model of peanut allergy, *Nat Med* 5:387. In the case of intranasal vaccination, mice are inoculated intranasally under light anesthesia with cocktail DNA chitosan nanospheres three times. Each mouse receives a total of 25 µg of total DNA complexed in the chitosan nanospheres. Control mice receive PBS and naked DNA.

Administration of Vaccine

Mice are administered intranasally (i.n.) with GXV (25 µg of total DNA/mouse) under light anesthesia. Control mice receive PBS or equivalent quantities of naked DNA. Sixteen days after vaccination, mice are infected intranasally with 1×10^6 pfu of the human RSV A2 strain (ATCC, Rockville, MD) in a 50 µl volume. Five days post infection (p.i), mice are sacrificed, and their lungs and spleens are collected aseptically for RT-PCR, histopathological studies, cytokine, and viral plaque analyses. Mice are bled on days 14 and 21 post vaccination to obtain serum.

Viral infection of animals and tissue and serum collection

On day 16 from the last vaccination, mice are infected intranasally with 1×10^6 pfu of human RSV A2 strain (ATCC, Rockville, MD) in a 50 µl volume. On day 5 post infection (p.i.) mice are sacrificed and their lung and spleen collected aseptically. For RT-PCR, histopathological studies, cytokine and viral plaque analysis. Serum is collected from mice on days 14 and 21 following last vaccination.

Quantitation of RSV titers and antigen in lung

To quantify RSV titers in the mouse lung, whole lungs are first weighed and then placed immediately in EMEM media supplemented with 10% FBS. Lungs are homogenized, followed by centrifugation at 10,000 RPM for 10 minutes at 4°C. Clear supernatant is collected and passed through a 0.45 µm methylcellulose filter (Gelman Sciences, Ann Arbor, MI). Serially diluted samples are used for plaque assay. Hep-2 cells growing on cover slips in 24 well plates (60-70% confluent) are overlaid with different

dilutions of the lung homogenate and centrifuged at 1000 RPM for one hour. This leads to rapid adsorption of the virus into the cells. Cells are incubated in a CO₂ incubator at 37°C for 24 hours. Following incubation, tissue culture medium are aspirated out and cells are washed twice with PBS. Cells are fixed with chilled absolute ethanol, dried and then are incubated with FITC-labeled anti-RSV polyclonal antibody (Light Diagnostics, 5
Tennecula, CA0 for 30 in a humidified chamber. Cells are washed twice with the washing buffer (PBST, PBS+0.05% Tween-20, pH 7.4) and cover slips are mounted on the slide using fluoromount G (Southern Biotechnology Associates, Birmingham, AL). RSV plaques are enumerated under fluorescence microscope.

10

RNA extraction and RT-PCR analysis

Total cellular RNA is isolated from the lung tissue using TRIZOL reagent (Life Technologies, Gaithersburg, MD), following the manufacturer's instructions. One ml of Trizol reagent is added to 50-100 mg of lung tissue and homogenized. Lung homogenate 15
is suspended by pipeting and allowed to stand at room temperature for five minutes for lysis. Chloroform (200 µl) is added to each tube and mixed thoroughly. After five minutes, the cells are centrifuged at 12,000 rpm for 15 minutes at 15-20°C. The clear aqueous supernatant is transferred to a fresh tube and an equal volume of isopropanol is added, mixed well, and centrifuged at 12,000 rpm for 15 minutes at 15-20°C. The RNA 20
pellet is washed with 70% ethanol, air dried and dissolved in diethyl-pyr carbonate-treated water. RT-PCR is carried out for different RSV genes, as described by Behera, A.K. et al, 2001, Blocking Intercellular Adhesion Molecule-1 on Human Epithelial Cells Decreases Respiratory Syncytial Virus Infection, *Biochem Biophys Res Comm* 280:188.

25 Pulmonary Function

To assess the pulmonary function in vaccinated and control groups, mice are vaccinated with GXV. Three days later, airway responsiveness (i.e., bronchoconstriction) is assessed non-invasively in conscious, unrestrained mice with a whole body plethysmograph (Buxco Electronics, Troy, NY), as described by Matsuo K. et al, 2000, 30
Recurrent respiratory syncytial virus infection in allergen sensitized mice lead to persistent airway inflammation and hyperresponsiveness, *J. Immunol* 164:6583. With this system, the volume changes that occur during a normal respiratory cycle are recorded as the pressure difference between an animal containing chamber and a respiratory reference

chamber. The resulting signal is used to calculate respiratory frequency, minute volume, tidal volume, and enhanced pause (Penh). Penh is used as the measure of bronchoconstriction and is calculated from the formula: $\text{Penh} = \text{pause} \times (\text{peak expiratory pressure} / \text{peak inspiratory pressure})$, where pause is the ratio of time required to exhale the last 30% of tidal volume relative to the total time of expiration. Mice are placed in the plethysmograph and the chamber is equilibrated for 10 minutes. They are exposed to aerosolized PBS (to establish a baseline) followed by incremental doses (6, 12.5, 25 and 50 mg/ml) of methacholine (Sigma Chemicals, St. Louis, MO). Each dose of methacholine is aerosolized for five minutes, and respiratory measurements are recorded for five minutes afterward. During the recording period, an average of each variable is derived from every 30 breaths (or 30 seconds, whichever occurs first). The maximum Penh value after each dose is used to measure the extent of bronchoconstriction.

Bronchoalveolar lavage (BAL), Spleen cell culture and assay for IFN- γ

Bronchoalveolar lavages are performed on vaccinated and control mice. Mice are sacrificed on day five post infection by an overdose injection of pentobarbital (Nembutal (Abbot Laboratories, North Chicago, IL)), (0.6g/kg) i.p. and the thorax is opened. The lung vascular bed is flushed with two to three ml of chilled saline. The trachea is exposed and cannulated with a 26G needle connected to a tuberculin syringe. The lung is then lavaged thrice with 0.5 ml of PBS and the bronchioalveolar lavage fluid (BALF) is pooled. Recovered BAL fluid volumes range between 75 and 85% of instilled PBS. There is no statistically significant difference in recovered BAL fluid volumes between control and experimental groups. Supernatant is collected following centrifugation of the BAL and stored at -70°C until it is assayed for cytokines.

For spleen cell culture, single-cell suspensions are prepared from the spleens of BALB/c mice and cultured in wells coated with anti-CD3 Abs (1 $\mu\text{g/ml}$; clone 17A2, PharMingen, San Diego, CA). IFN- γ was assayed from BALF and 24-h culture supernatant using an ELISA kit (R&D Systems, Minneapolis, MN).

Assay for total IgA antibodies

IgA antibodies are collected from the nasal washes as described by Matsuo K, et al 2000, Induction of innate immunity by nasal influenza vaccine administered in combination with an adjuvant (cholera toxin), *Vaccine*, 18:2713. A syringe needle is

inserted into the posterior opening of the nasopharynx and a total of one ml of phosphate-buffered saline (PBS) containing 0.1% bovine serum albumin (BSA) is injected into the opening three times; the out flow is collected as the nasal wash. The nasal wash is centrifuged to remove cellular debris and used for Ab assay. For total IgA antibody assays, ELISA plates are coated overnight at 4°C with 200 ng/well of anti-mouse IgA antibody (02271D, Pharmingen, San Diego, CA). After three washes, samples are added and incubated at room temperature for 2 hours. Following another wash, biotinylated anti mouse IgA (556978, Pharmingen, San Diego, CA) antibody is added and the plates are incubated for another 2 hours. Following three washes, avidin peroxidase conjugate (1:10,000, Sigma Chemicals, St. Louis, MO) is added and plates are incubated for another hour. Color is developed after the addition of the substrate Tetramethyl benzidine (Pharmingen, San Diego, CA) and absorbance is read at 450 nm using an automated-ELISA reader.

15 **Anti-RSV Antibody Assay**

To quantitate anti RSV antibody titers, ELISA plates are coated overnight at 4°C with purified RSV (200ng/well). Plates are washed and blocked with blocking buffer (1% BSA in PBS, pH 7.4) for one hour at 37°C. Samples are added to the plate and incubated at 37°C for 2 hours. Plates are washed again and anti-mouse IgG peroxidase conjugate is added at a dilution of 1:10,000 (Boehringer Mannheim, Germany) and incubated for 1 hour. Following three washes, substrate is added and color is allowed to develop for 20-30 minutes. Absorbance is read at 450 nm using an automated ELISA reader.

Virus Neutralization Assay

25 Different dilutions of serum obtained at day 14 are mixed with 100 µl of RSV inoculum and incubated at 37°C for one hour. This is used to infect HEp-2 cultures growing in 48-well culture plates. RSV titer is determined.

Immunoblotting

30 Thirty microgram of RSV infected HEp-2 cell extract is fractionated on a 4-20% gradient SDS-PAGE and transferred to the nitrocellulose membrane. The membrane is blocked with blocking buffer (5% w/v non-fat dry milk in TBS-0.1% Tween 20, pH 7.6) and incubated overnight at 4°C with a 1:250 dilution of pooled serum from various groups

of immunized mice. The membrane is washed four times in washing buffer (TBS-0.1% Tween-20, pH 7.6) and incubated with anti-mouse IgG peroxidase conjugate for 1 h at room temperature. Following four more washes, the blot is developed by the addition of ECL chemiluminescent detection reagents (0.125 ml/cm²), according to the
5 manufacturer's instructions (Amersham Life Sciences, Arlington Heights, IL).

Histology and Scoring for Airway Inflammation

Lungs are inflated with intratracheal injections of PBS followed by 10% neutral buffered formalin solution (Sigma Chemicals, St. Louis, MO) to preserve the pulmonary
10 architecture in an expanded state. Lungs are transferred to 80% ethanol after one hour and then embedded in paraffin. The sections are stained with hematoxylin and eosin. A semi-quantitative evaluation of inflammatory cells in the lung sections, including alveolar spaces, bronchovascular bundles and interstitium, is performed. Inflammatory infiltrates are assessed morphologically for location, thickness, and cell composition.

15

CTL Studies

Splenocytes (2.5×10^6 cells/mL) from mice immunized with the PBS, GXV, and naked DNA are incubated in complete RPMI containing 10 U/mL IL-2 and 2.5×10^6 cells/mL of persistently RSV-infected mitomycin (Sigma, St Louis, MO) treated
20 fibroblasts (BCH4 cells). Cultures are tested on day 6 for antigen-specific lysis by adding varying numbers of effector cells to ⁵¹Cr-labeled syngeneic fibroblasts either persistently RSV-infected (BCH4) or uninfected (BC) target cells (1×10^4). After 5h of incubation at 37°C, cell supernatants are harvested for the determination of ⁵¹Cr in a gamma counter. The percentage of specific lysis is calculated as [(experimental cpm—spontaneous
25 cpm)/(total cpm—spontaneous cpm)] x 100. Spontaneous release and total release are determined from target cells incubated with medium alone or after the addition of 2.5% Triton X-100, respectively.

Results

30 Mucosal GXV vaccination is safe and effective

To determine the expression of RSV antigens in the lung following intranasal administration of the plasmid cocktail, the expression is measured for all cDNAs at the mRNA level by RT-PCR. The mRNA expression is detectable for seven of the nine plasmids including NS1, NS2, M, SH, F, M2 and N. All of the mRNAs are of expected

size. There is a qualitative difference in expression of different mRNAs. These results indicate that intranasally administered plasmids readily express the encoded antigen in the lung cells.

A major concern with RSV vaccine is the enhancement of inflammation. To test whether the intranasal administration of GXV vaccine induces airway hyperreactivity the percentage baseline enhanced pause (Penh) is measured in three groups of animals including the PBS control and animal vaccinated with either naked plasmid cocktail or GXV vaccine. Animals receiving GXV vaccine exhibit similar response to methacholine challenge when compared to animals receiving naked DNA or PBS alone (controls).

These results suggest that the GXV vaccine does not induce airway hyperreactivity.

To measure the effect of vaccine, BALB/c mice are intranasally administered with GXV vaccine or naked DNA. Animals are infected with RSV on day 16 and four days later (day 21) sacrificed. Lungs are removed and their homogenates are used for RSV plaque assay. Mice vaccinated with the GXV vaccine show a significant reduction in RSV titers (two to three fold) when compared to PBS control and naked DNA cocktail. A reduction in the viral titers of the lung is considered to be gold standard in judging the effectiveness of a vaccine. These results indicate that chitosan increases the potency of pDNA vaccine and that GXV provides an effective vaccine against RSV infection.

GXV reduces RSV infection-induced pulmonary inflammation

Lung inflammation is examined in groups of mice receiving GXV vaccine, and the naked plasmid DNA cocktail and these are compared with control mice treated with saline. The group of mice receiving GXV vaccine show less epithelial damage, mononuclear cell (MNC) and polymorphonuclear cell (PMNC) infiltrates in the interstitial and peribronchovascular region as compared to the naked plasmid vaccinated group and control. The PBS group is similar in lung histology to the normal uninfected mice and the naked DNA vaccination exhibit disruption of the epithelium, whereas GXV vaccinated mice show a lung phenotype comparable to the normal mice. These results suggest that GXV vaccine protects mice from RSV infection induced pulmonary inflammation.

GXV induces an anti-RSV antibody response

To determine whether the mucosal administration of GXV vaccine induces specific antibodies in mice, the RSV specific antibody titers are measured in mice administered with either the naked plasmid cocktail or GXV vaccine. Animals vaccinated with GXV

vaccine exhibit significantly higher antibody titers than controls. The secreted IgA antibody is considered to be protective for mucosal pathogens as the nose is the main site of entry for RSV. The levels of total IgA antibodies in nasal wash are measured to verify if this class of antibody is changed as a result of vaccination. Animals vaccinated with GXV vaccine exhibit significantly higher IgA antibody titers than controls. These results indicate that GXV vaccine induce secretion of antibodies in the serum and specifically the IgA.

GXV induces expression of IFN- γ in the lung and spleen

IFN- γ is a major anti-viral cytokine, thus for a vaccine to be effective, it must induce IFN- γ expression. To examine if GXV vaccine induces IFN- γ expression, mice are administered with GXV vaccine and then infected with RSV on day 16. On day 21, animals are sacrificed, a bronchioalveolar lavage is performed and their spleenocytes are cultured *in vitro*. GXV vaccinated mice exhibit significantly more IFN- γ production in their BAL fluid than the controls. Also, cultured spleen cells stimulated with anti-CD3 antibody for mice vaccinated with GXV show more IFN- γ production than the controls.

Statistical Analysis

Pairs of groups are compared by the student's *t*-test. Differences between groups are considered significant at $p < 0.05$. Values for all measurements are expressed as the mean \pm SD. The data is shown in **Table 1**. Each value in **Table 1** represents the mean \pm SD of 5 fields from 6 individual lung sections from each mouse in a group ($n = 4$). Statistical group of mice show that vaccinated mice exhibit more than a substantial decrease in antigen load (77%) when compared to PBS controls, **Figure 2B**. These results indicate that chitosan increases the potency of pDNA vaccines and that GXV provides an effective vaccine against RSV infection. To test whether the intranasal administration of GXV induces airway hyperreactivity, the % baseline enhanced pause (Penh) is measured in all the three groups of animals. Animals receiving GXV exhibit a similar response to methacholine challenge when compared to animals receiving naked DNA or PBS alone (controls) **Figure 2C**. These results suggest that the GXV treatment by itself does not induce any significant change in airway hyperreactivity.

Both serum and mucosal responses are important ingredients of an effective vaccine. The secreted IgA antibody is considered to be protective for mucosal pathogens,

as the nasal passage is the main site of entry for RSV. GXV given intranasally induces specific antibodies in mice, RSV-specific antibody titers are measured in mice administered with either the naked plasmid cocktail or GXV. Animals vaccinated with GXV exhibited significantly higher serum antibody titers than controls **Figure 3A**.

5 Incubation of RSV with the serum obtained from vaccinated mice reduces virus infection of HEp-2 cells, indicating the production of neutralizing antibodies following vaccination **Figure 3B**. GXV mice show significantly higher neutralizing titers compared to mice given naked DNA, both of which are significantly different from the control group. The levels of total IgA antibodies in nasal wash measured verify that this class of antibody was
10 changed as a result of vaccination with GXV. Animals vaccinated with GXV exhibit significantly higher IgA antibody titers than controls **Figure 3C**. These results indicate that GXV induces the increased production of neutralizing antibodies in serum and nasal IgA. Differences are indicated as: *a*; $P < 0.05$, *aa*; $P < 0.01$ and *aaa*; $P < 0.001$ compared to PBS control; *b*; $P < 0.05$ compared to naked DNA control.

15

Table 1: Semi-quantitative analysis:

Pathology	PBS	Naked DNA	GXV
Epithelial Damage	2.53 ± 0.17	2.25 ± 0.30	1.4 ± 0.52^{aab}
Interstitial-alveolar infiltrate	2.66 ± 0.21	2.36 ± 0.33	1.76 ± 0.35^{aaa}
Peribronchovascular infiltrate	2.01 ± 0.20	1.81 ± 0.57	1.46 ± 0.23^a

GXV administered intra-nasally results in the efficient expression of constituent
20 RSV antigens, the lung tissues of mice are examined using RT-PCRs and western blot analyses. The results of an RT-PCR analysis from the lung mRNA of a given GXV shows that all of the mRNAs encoded by the GXV are detectable in the lung tissue, **Figure 1A**. Evidence that these mRNAs are translated to produce sufficient immunogens is obtained by using a pooled sera ($n=4$) of these mice, which reacts with a number of RSV antigens
25 present in RSV-infected HEp-2 cell supernatant in a western blot analysis **Figure 1B**.

These results indicate that GXV induces the production of RSV antigens, which elicit an antibody response.

Mice are administered a single dose of either GXV (a total of 25 µg of DNA) or naked DNA in saline (control). Analysis of lung virus titers following acute, live RSV infection shows a significant (100-fold) reduction in RSV titers in GXV mice compared to PBS controls **Figure 2A**. Mice administered naked DNA show titers similar to those of the PBS group, suggesting naked DNA is not effective when administered via the intranasal route. Examination of total RSV antigen load in the vaccinated and control GXV vaccinated mice are analyzed for the presence of splenic, RSV-specific CTL using persistently RSV-infected BCH4 as the target and RSV-negative BC cells as the control. PBS or naked DNA controls does not elicit a detectable CTL response. In contrast, mice immunized with GXV generated CTL responses **Figure 4A**, and these CTLs are shown to be CD8⁺ and MHC class I-restricted (data not shown). IFN-γ is considered to be the major anti-viral cytokine. Thus, in order for a vaccine to be effective, it must induce IFN-γ expression. IFN-γ is assayed from the cultured spleen cells and broncho-alveolar lavage (BAL) of GXV vaccinated and control groups of mice. GXV vaccinated mice exhibit significantly more IFN-γ production in their BAL fluid than the controls **Figure 4B**. Cultured spleen cells stimulated with anti-CD3 antibody for mice vaccinated with GXV show more IFN-γ production than the controls **Figure 4C**.

Lung inflammation is examined in different groups of mice. Representative pathological features are shown in **Figure 5A**. The group of mice that received the GXV vaccine exhibit less epithelial damage, mononuclear cell (MNC), and polymorphonuclear cell (PMNC) infiltrates in the interstitial and peribronchovascular region, as compared to controls **Figure 5**. The PBS group and the naked DNA group exhibit disruption of the epithelium, whereas GXV vaccinated mice showed a lung phenotype comparable to normal mice (data not shown). These results suggest that the GXV vaccine protects mice from RSV infection-induced pulmonary inflammation. A semi-quantitative analysis using a scoring system for inflammation in the lung is shown in **Table I**. Groups of mice that received GXV vaccine exhibited reduced epithelial damage ($P<0.01$, compared to PBS and $P<0.05$; compared to naked DNA) and pulmonary inflammation compared to naked DNA and PBS controls. The group of mice that receive GXV exhibited reduced ($P<0.01$) interstitial alveolar infiltrate and peri-bronchovascular infiltrate ($P<0.05$) when compared to the PBS control. No statistically significant difference is found with the naked DNA

control group. These results suggest that GXV protects mice from RSV infection-induced pulmonary inflammation.

Throughout this application, various publications, have been referred to. The
5 disclosures of these publications in their entireties are hereby incorporated by reference into this application to more fully describe the state of the art to which this invention pertains.

The above examples have been depicted solely for the purpose of exemplification and are not intended to restrict the scope or embodiments of the invention. Other
10 embodiments not specifically described should be apparent to those of ordinary skill in the art. Such other embodiments are considered to fall, nevertheless, within the scope and spirit of the present invention. Thus, the invention is properly limited solely by the claims that follow.

What is claimed:

1. An immunogenic composition for conferring protection in a host against disease caused by respiratory syncytial virus (RSV) comprising:
 - an F RSV antigen;
 - 5 a G RSV antigen; and
 - at least one of M, M2, SH, NS1, NS2, N, or P RSV antigen.
2. The immunogenic composition of claim 1 wherein said composition is a mucosal vaccine.
3. An immunogenic composition for conferring protection in a host against disease
10 caused by respiratory syncytial virus (RSV) comprising:
 - an M2 RSV antigen; and
 - at least one of F, G, M, SH, NS1, NS2, N, or P RSV antigen.
4. The immunogenic composition of claim 3 wherein said composition is a mucosal vaccine.
- 15 5. An immunogenic composition for conferring protection in a host against disease caused by respiratory syncytial virus (RSV) comprising:
 - an F RSV antigen;
 - a G RSV antigen;
 - an M2 RSV antigen; and
 - 20 at least one of M, SH, NS1, NS2, N, or P RSV antigen.
6. The immunogenic composition of claim 5 wherein said composition is a mucosal vaccine.
7. A gene expression vaccine for conferring protection in a host against disease caused by respiratory syncytial virus (RSV) comprising:
 - 25 a plasmid DNA cocktail comprising a combination of at least two RSV antigens selected from the group consisting of F, G, M, M2, SH, NS1, NS2, N, and P; wherein said plasmid DNA cocktail is coacervated with chitosan to form nanospheres.
8. The gene expression vaccine of claim 7 wherein administration does not alter airway hyperresponsiveness.
- 30 9. The gene expression vaccine of claim 7 wherein said vaccine is a mucosal vaccine.
10. The gene expression vaccine of claim 9 wherein said mucosal vaccine is conducive to oral administration.
11. The gene expression vaccine of claim 9 wherein said mucosal vaccine is conducive to intranasal administration.

12. The gene expression vaccine of claim 7 wherein administration of said vaccine induces IFN- γ expression.

13. A method of immunizing a host against disease caused by infection with respiratory syncytial virus (RSV) comprising:

5 administering to said host an immunoeffective amount of a composition comprising:

a plasmid DNA cocktail comprising a combination of at least two RSV antigens selected from the group consisting of F, G, M, M2, SH, NS1, NS2, N, and P; wherein said plasmid DNA cocktail is coacervated with chitosan to form nanospheres.

10 14. The method of claim 13, wherein said administering is oral or intranasal.

15. The method of claim 13, wherein said administering does not induce airway hyperreactivity.

16. The method of claim 13, wherein said immunoeffective amount is administered in a single dose.

15 17. The method of claim 13, wherein said immunoeffective amount is about 1mg/kg host weight.

18. A method of making a gene expression vaccine comprising:

cloning cDNA for at least two respiratory syncytial virus antigens in a pVAX plasmid to form a plasmid DNA cocktail; and

20 coacervating the plasmid DNA cocktail with chitosan.

19. The method of claim 18 wherein said coacervating step results in the formation of nanospheres.

20. The method of claim 18 wherein the respiratory syncytial virus antigens are selected from the group consisting of F, G, M, M2, SH, NS1, NS2, N, and P.

FIG. 1A

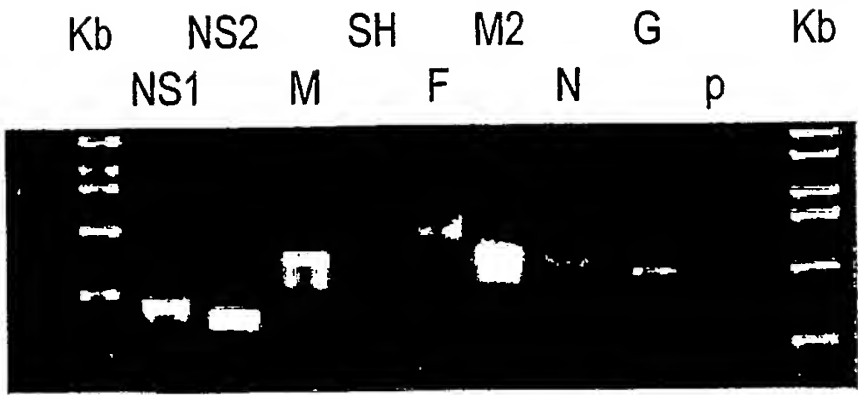
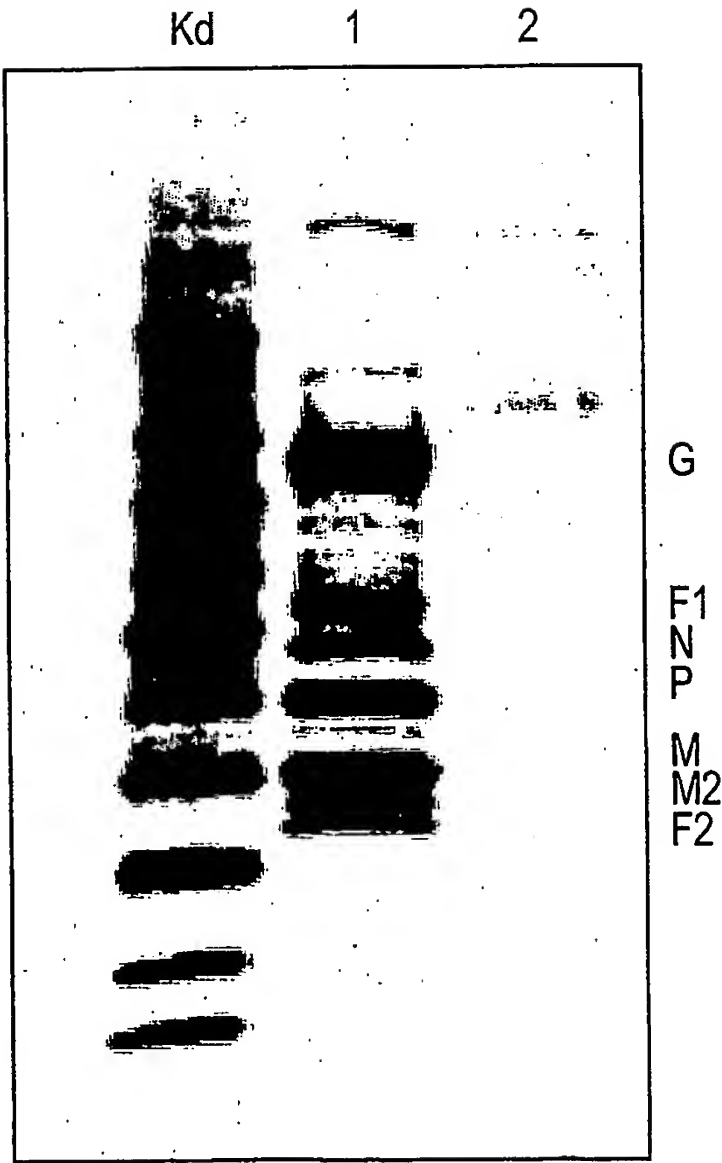


FIG. 1B



2/9

FIG. 2A

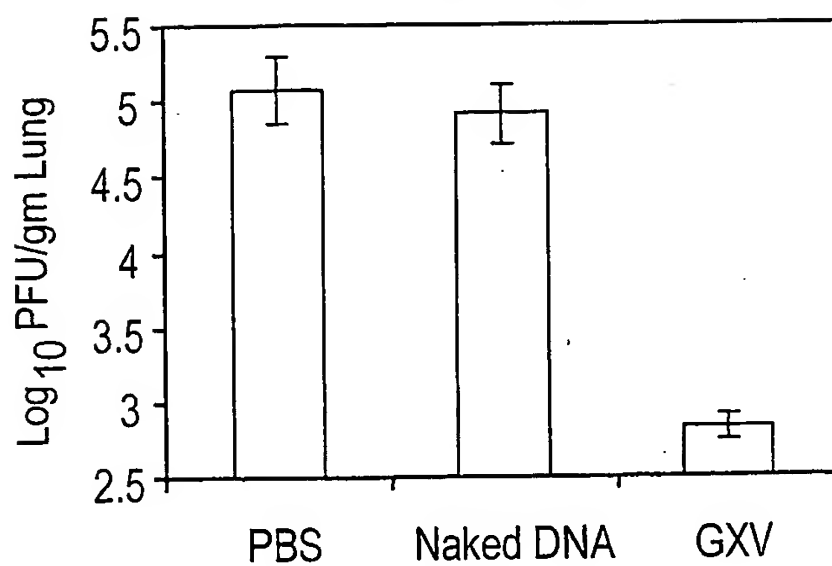


FIG. 2B

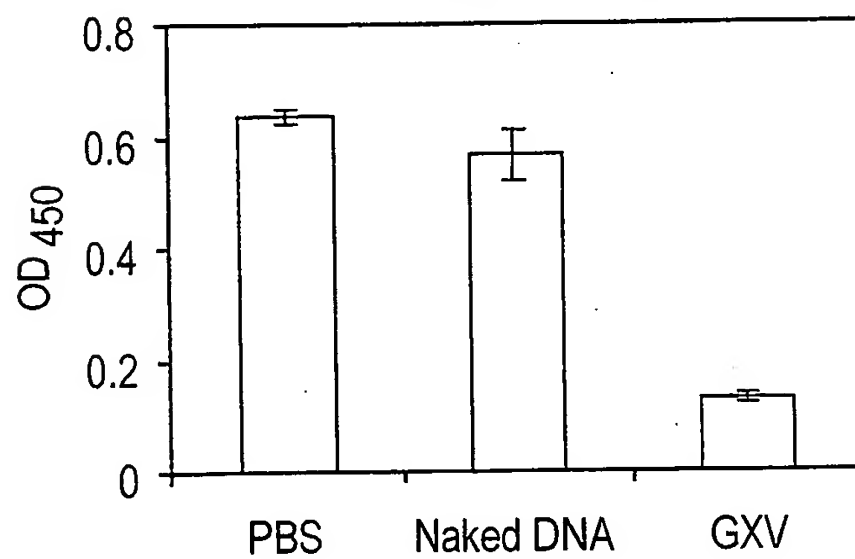


FIG. 2C

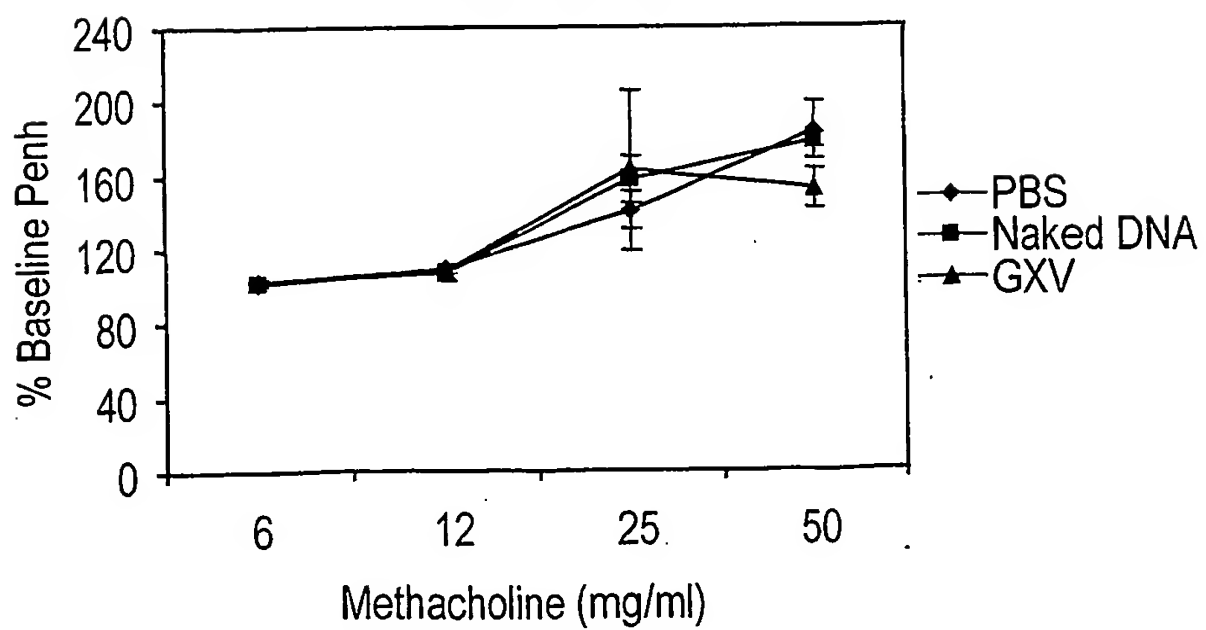


FIG. 3A

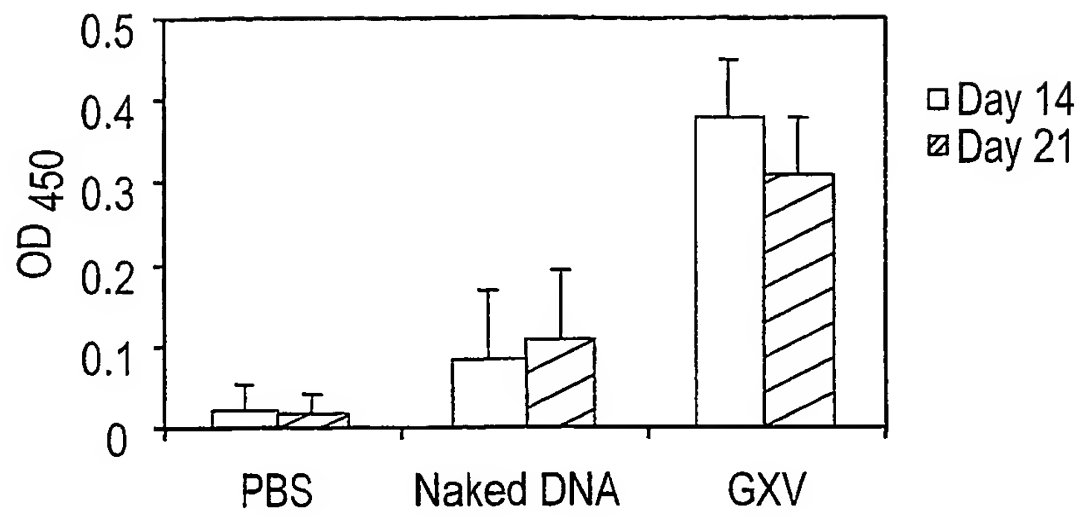


FIG. 3B

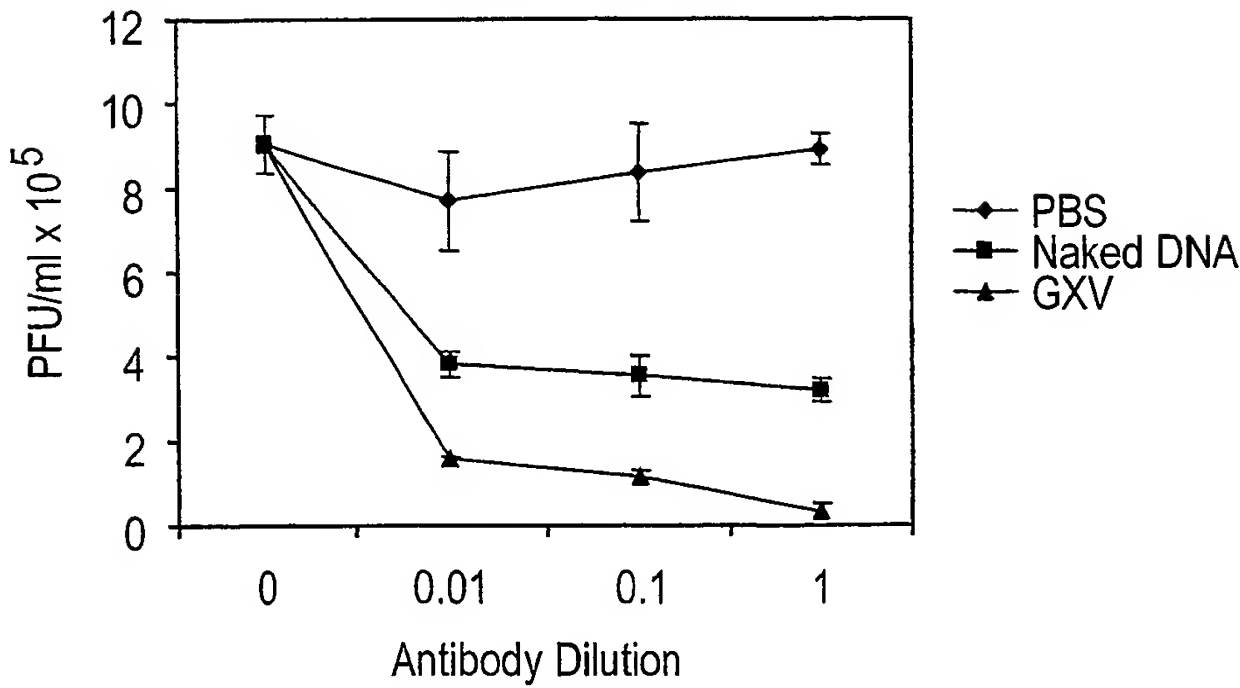


FIG. 3C

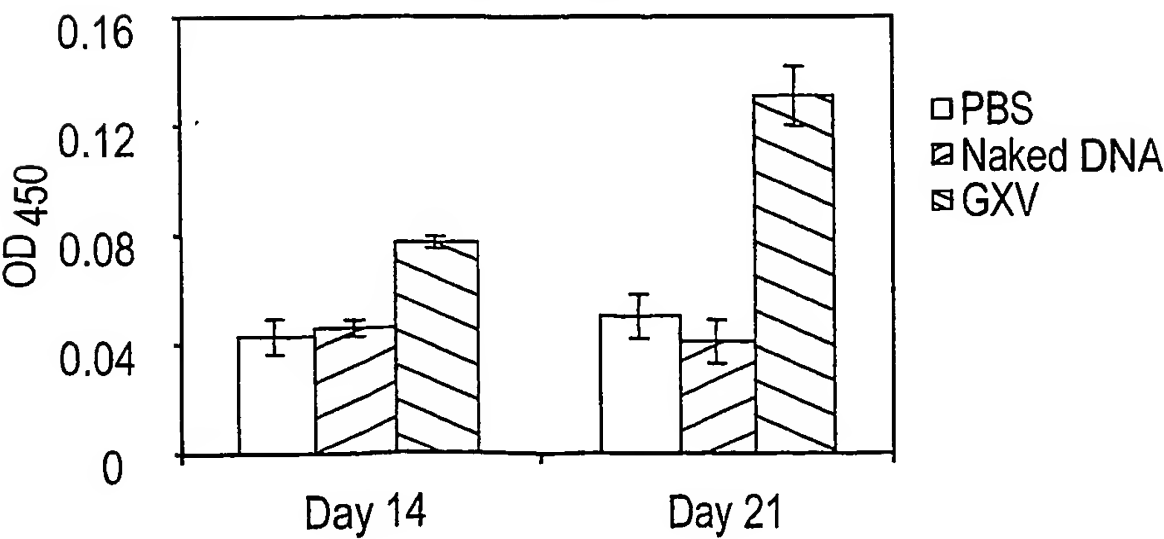


FIG. 4A

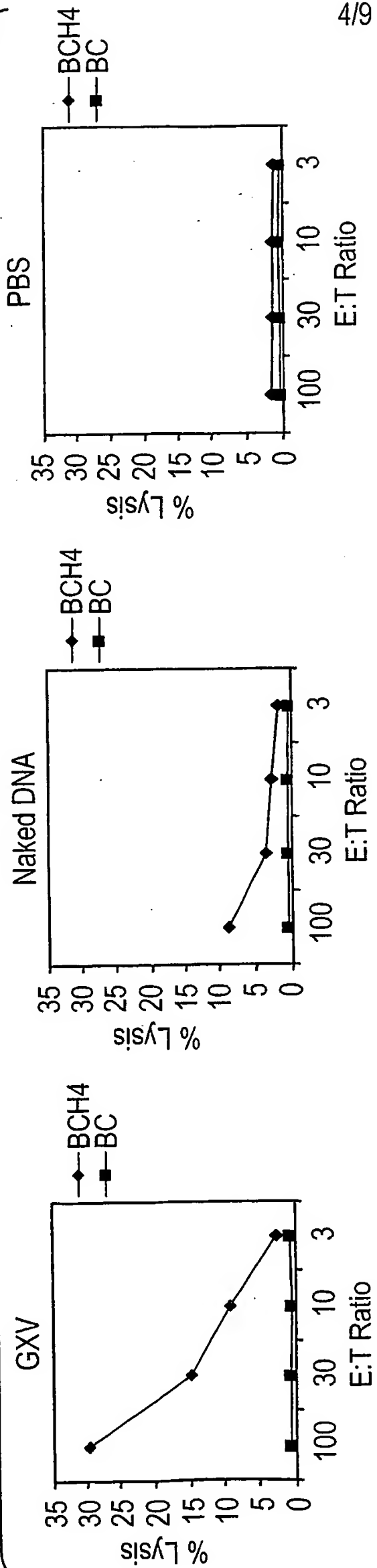


FIG. 4C

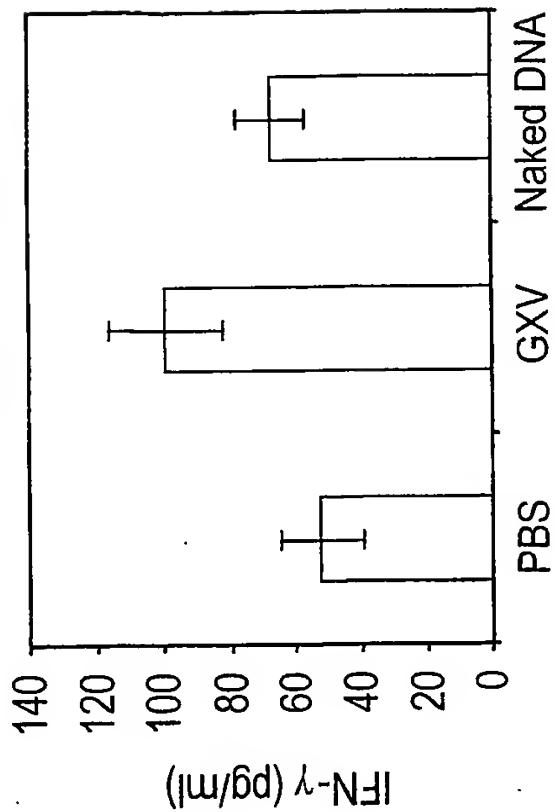
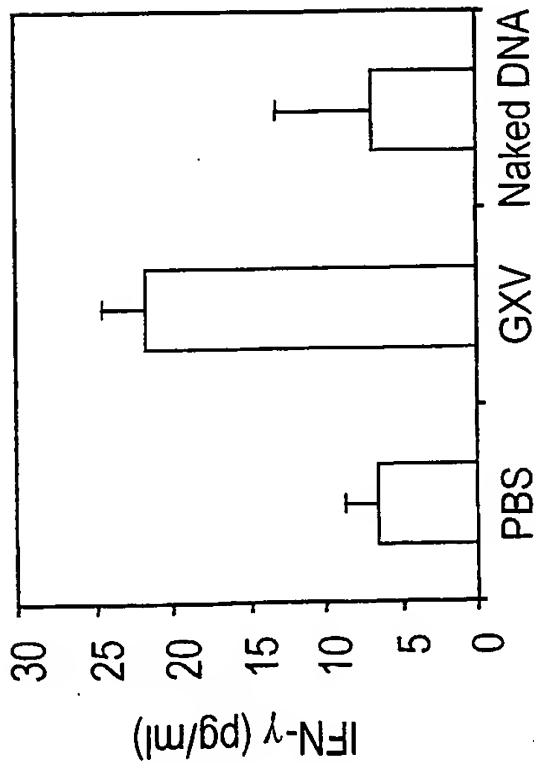


FIG. 4B



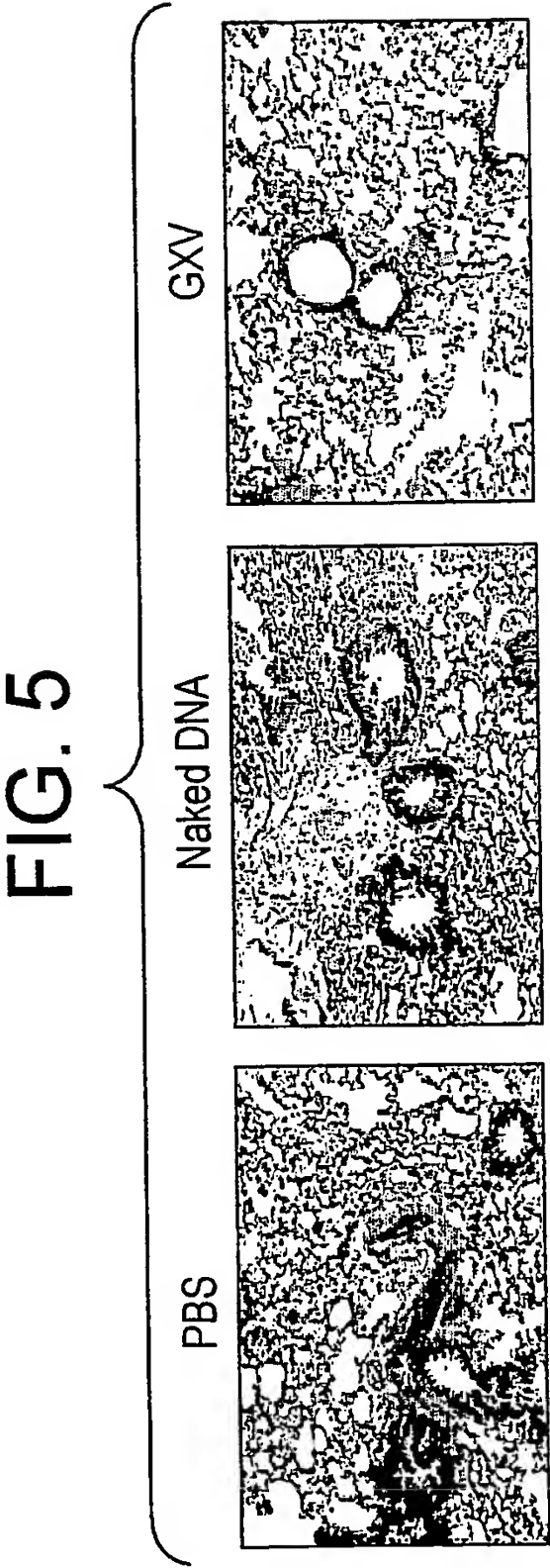


FIG. 6B

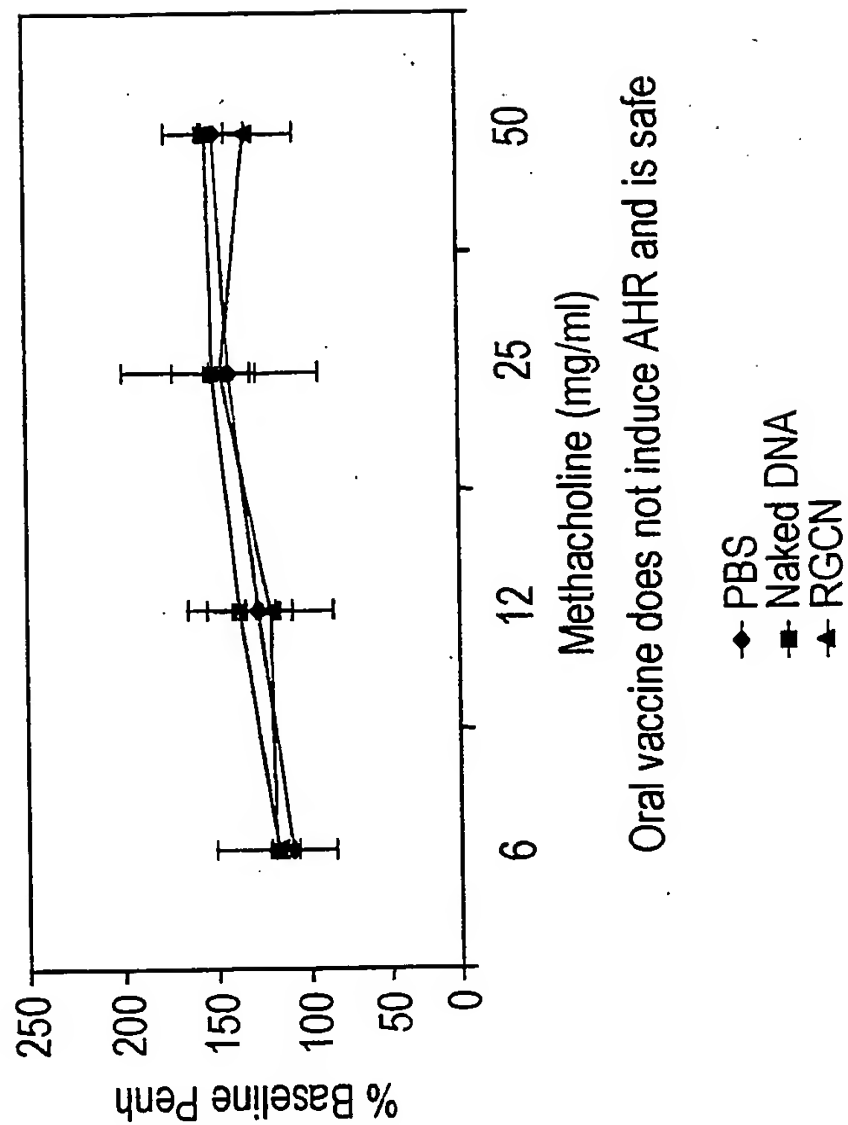


FIG. 6A



mRNA expression of several RSV genes following vaccination.

FIG. 7B

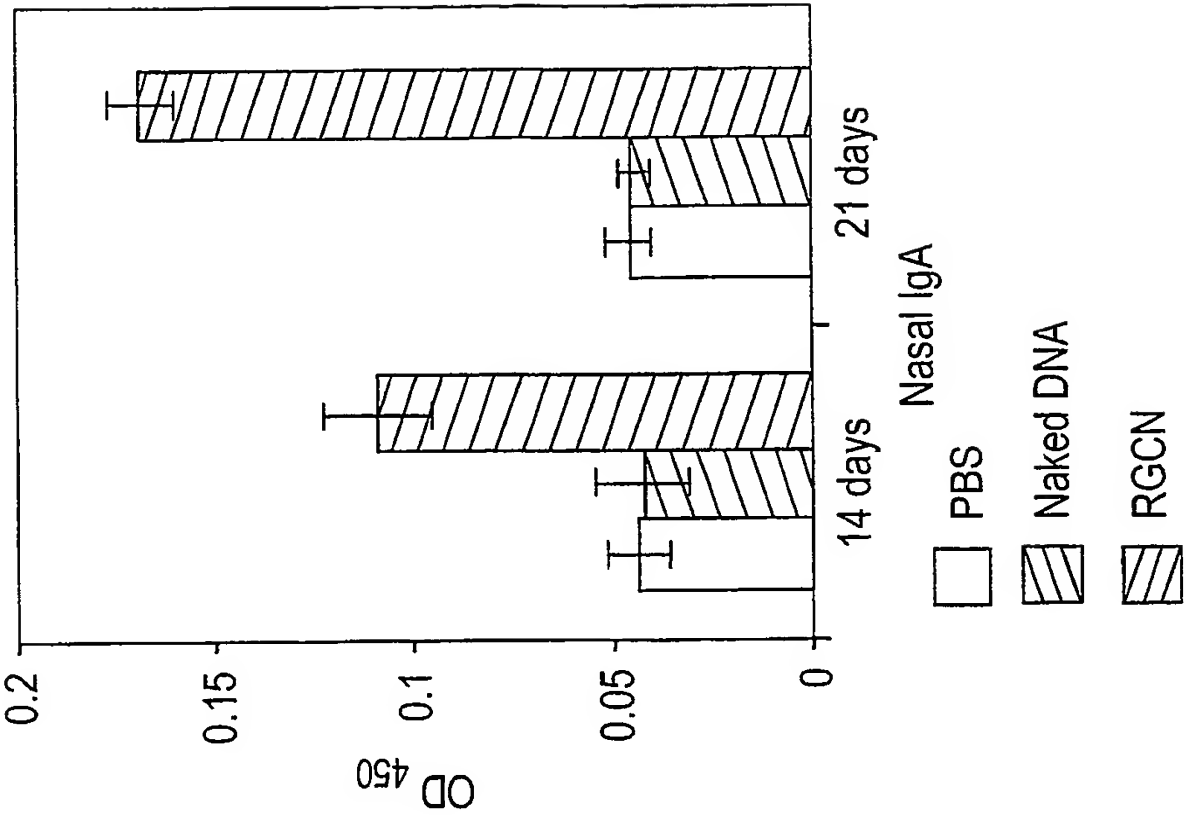


FIG. 7A

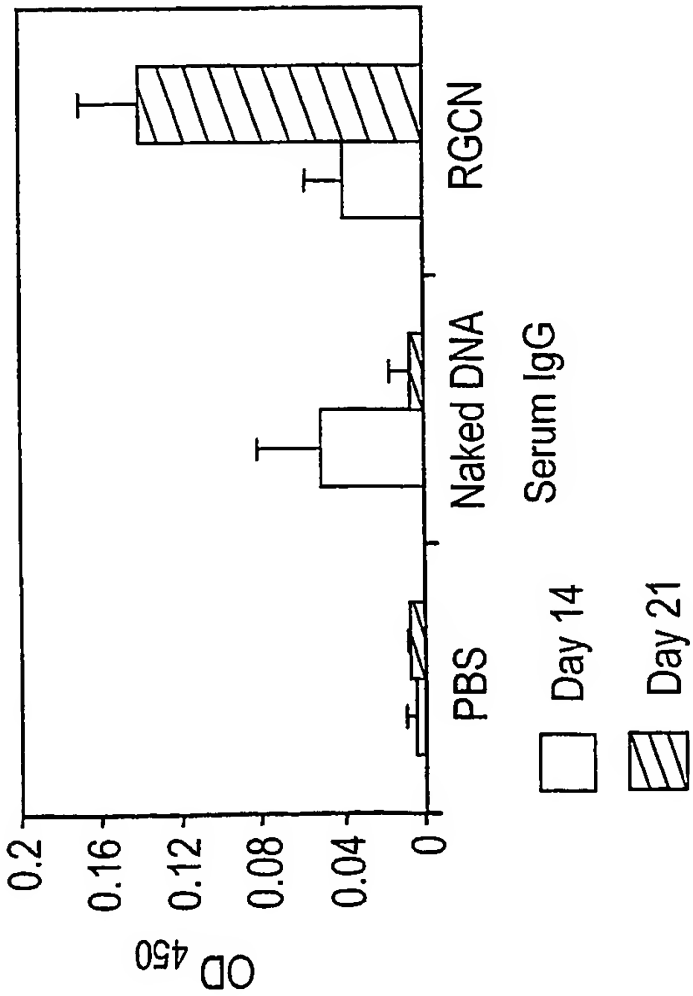


FIG. 8B

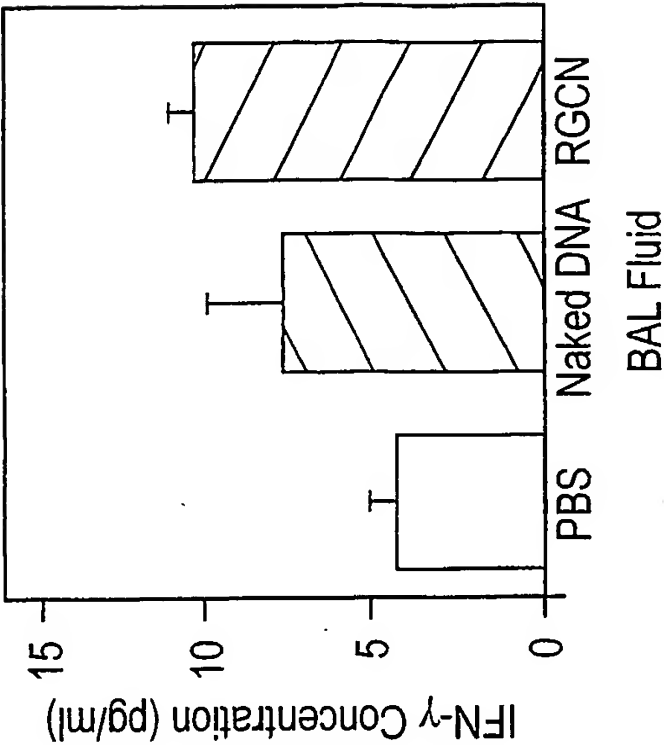


FIG. 8A

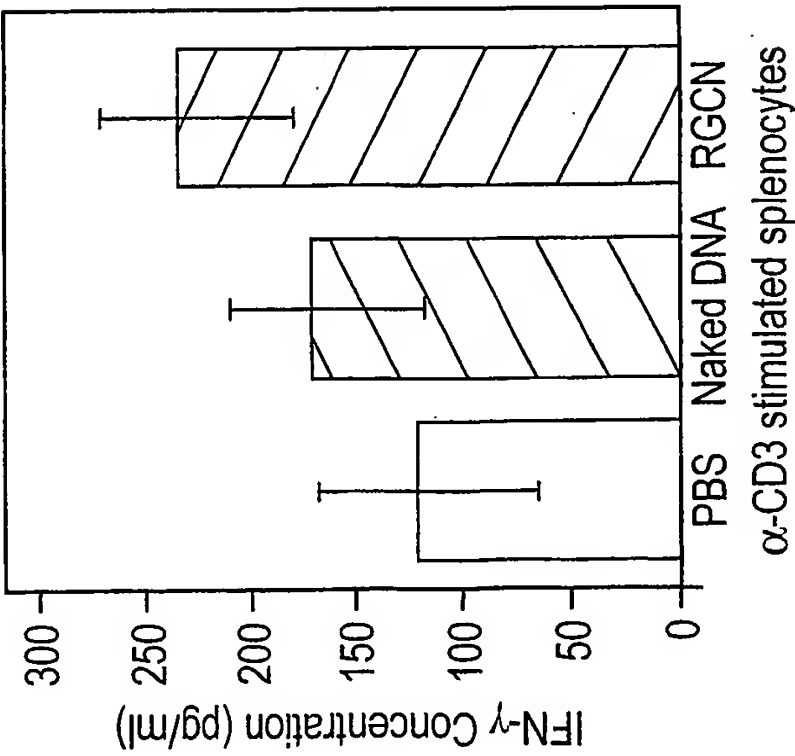


FIG. 9



GXV



Naked DNA



Saline

INTERNATIONAL SEARCH REPORT

al Application No
PCT/US 02/04114A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 A61K39/155 A61K47/36 C12N15/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, EMBASE, CHEM ABS Data, BIOSIS, MEDLINE, LIFESCIENCES, PAJ

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	KUMAR M ET AL: "A RSV GENOME CHITOSAN NANOSPHERE (RGCN) VACCINE AGAINST RESPIRATORY SYNCYTIAL VIRUS (RSV) INFECTION" JOURNAL OF ALLERGY AND CLINICAL IMMUNOLOGY, MOSBY - YEARLY BOOK, INC, US, vol. 2, no. 107, February 2001 (2001-02), page S251 XP008005876 ISSN: 0091-6749	18,19
Y	abstract --- -/--	7-17,20

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

* Special categories of cited documents :

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *G* document member of the same patent family

Date of the actual completion of the international search

12 November 2002

Date of mailing of the international search report

26/11/2002

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Wagner, R

INTERNATIONAL SEARCH REPORT

inal Application No
PCT/US 02/04114

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of documents, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	ANDERSON L J: "Respiratory syncytial virus vaccines for otitis media" VACCINE, BUTTERWORTH SCIENTIFIC. GUILDFORD, GB, vol. 19, 8 December 2000 (2000-12-08), pages S59-S65, XP004227950 ISSN: 0264-410X page S59	7-17,20
X	WHITEHEAD S S ET AL: "Recombinant respiratory syncytial virus bearing a deletion of either the NS2 or SH gene is attenuated in chimpanzees" JOURNAL OF VIROLOGY, THE AMERICAN SOCIETY FOR MICROBIOLOGY, US, vol. 73, no. 4, April 1999 (1999-04), pages 3438-3442, XP002154720 ISSN: 0022-538X abstract	1-4
X	WO 98 02457 A (CONNAUGHT LAB ;CATES GEORGE A (CA); KLEIN MICHEL H (CA); OOMEN RAY) 22 January 1998 (1998-01-22) page 11 -page 14	1,2
E	WO 02 44334 A (AVIRON INC) 6 June 2002 (2002-06-06) page 63	1,3,5

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 5,6

Claims 5 and 6 are not clear (Article 6 PCT) because the RSV does not comprise a "C" antigen

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

INTERNATIONAL SEARCH REPORT

ational application No.
PCT/US 02/04114

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

Although claims 13-17 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. ☒ Claims Nos.: 5,6
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:

see FURTHER INFORMATION sheet PCT/ISA/210
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

Information on patent family members

nal Application No

PCT/US 02/04114

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9802457	A	22-01-1998	US 6020182 A 01-02-2000
		AU 716378 B2 24-02-2000	
		AU 3431197 A 09-02-1998	
		BR 9712970 A 28-08-2001	
		CA 2259594 A1 22-01-1998	
		WO 9802457 A1 22-01-1998	
		CN 1230197 A 29-09-1999	
		DE 942928 T1 02-03-2000	
		EP 0942928 A1 22-09-1999	
		ES 2141065 T1 16-03-2000	
		JP 2000501418 T 08-02-2000	
		NZ 334115 A 23-06-2000	
		US 6309649 B1 30-10-2001	
		US 2002136739 A1 26-09-2002	
WO 0244334	A	06-06-2002	AU 3652202 A 11-06-2002
			WO 0244334 A2 06-06-2002

THIS PAGE BLANK (USPTO)

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☒ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☒ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

THIS PAGE BLANK (USPTO)